

## ANNEX 6 to the Tender Specifications

### DRAFT FRAMEWORK SERVICE CONTRACT EEA/ADS/12/001

The European Environment Agency (hereinafter referred to as "the Agency" or "the leading institution"),

and

the European Commission Representation in Denmark (hereinafter referred to as "the participating institution"),

collectively referred to as "the contracting authorities" when a provision applies equally to both of them,

which are represented for the purposes of the signature of this contract by Prof. Jacqueline McGlade, Executive Director of the Agency,

of the one part,

and

[official name in full]

[official legal form]

Statutory registration number:

[official address in full]

VAT registration number: **[complete]**

hereinafter referred to as "the Contractor", represented for the purposes of the signature of this contract by *[name in full and function]*,

of the other part,

collectively referred to as "the contracting parties",

#### HAVE AGREED

the **Special Conditions** and the **General Conditions** below and the following Annexes:

**Annex I** – Tender specifications (Invitation to Tender No EEA/ADS/12/001)

**Annex II** – Contractor's offer dated **[complete]**

**Annex III** – Draft order form

which form an integral part of this contract (hereinafter referred to as "the Contract").

The terms set out in the Special Conditions shall take precedence over those in the other parts of the Contract. The terms set out in the General Conditions shall take precedence over those in the Annexes. The terms set out in the Tender Specifications (Annex I) shall take precedence over those in the order form (Annex III), the latter taking precedence over the offer (Annex II).

Subject to the above, the several instruments forming part of the Contract are to be taken as mutually explanatory. Ambiguities or discrepancies within or between such parts shall be explained or rectified by a written instruction issued by the Agency or the participating institution as the case may be, subject to the rights of the Contractor under Article I.8 should he dispute any such instruction.

## **I – SPECIAL CONDITIONS**

### **ARTICLE I.1 - SUBJECT**

- I.1.1** The subject of the Contract is the provision of medical services to the European Environment Agency (leading institution) and the European Commission Representation in Denmark (participating institution).
- I.1.2** This framework contract is inter-institutional. The Agency acts on its own behalf and on behalf of the participating institution for which it has received power of attorney before framework contract signature. The Agency signs the Contract and any possible amendments thereto on its own behalf and on behalf of the participating institution. Signature of the Contract imposes no obligation on the contracting authorities to purchase. The contracting authorities to whom this Contract is applicable shall implement it individually, independently and in complete legal autonomy, through order forms, which alone shall be binding on them.
- I.1.3** In the event of the Contractor having a complaint against one of the contracting authorities to whom this contract is applicable, in particular in relation to the conclusion, implementation or termination of order forms, the Contractor remains bound to its obligations under this Contract and the order forms signed with the other contracting authority. Without prejudice to Article I.8, the Contractor explicitly renounces hereby to compensate or suspend the implementation of order forms signed with the other contracting authority.
- I.1.3** Once implementation of the Contract has been asked or has commenced, the Contractor shall reply and provide the services in accordance with all terms and conditions of the Contract.
- I.1.4** The Contract does not confer on the Contractor any exclusive right to provide the services described in Annex I to the contracting authorities.

### **ARTICLE I.2 - DURATION**

- I.2.1** The Contract shall enter into force on the date on which it is signed by the Agency.
- I.2.2** Under no circumstances may implementation commence before the date on which the Contract enters into force. Execution of the tasks may under no circumstances begin before the date on which the order form enters into force.
- I.2.3** The Contract is concluded for an initial period of 24 (twenty-four) months, with effect from the date on which it enters into force. The Contract shall be renewed automatically up to 2 (two) times for a period of 12 (twelve) months each, unless written notification to the contrary is sent by one of the contracting parties by registered mail before expiry of the contractual period aforesaid. These contractual periods and all other periods specified in the Contract are calculated in calendar days unless otherwise indicated. Renewal does not imply any modification or deferment of existing obligations.
- I.2.4** The order forms shall be returned signed before the Contract to which they refer expires.
- The Contract shall continue to apply to such order forms after its expiry, but no later than 6 (six) months after this date.

### **ARTICLE I.3 –PRICES**

- I.3.1** The maximum budget available for the Contract is EUR 600.000 (six hundred thousand euro) over a maximum period of 48 (forty-eight) months. The prices of the services shall be as listed in Annex II.

The price indicated in the order form covers any fees payable to the Contractor in relation to the vesting of rights in the Union and where applicable the transfer of rights to the Union and any use of the results by the contracting authorities.

**I.3.2** Prices shall be expressed in EUR.

**I.3.3** Prices shall be fixed and not subject to revision for implementation during the first year of duration of the Contract.

From the beginning of the second year of implementation of the Contract, 80% of each price may be revised upwards or downwards each year, provided such a revision is requested by one of the contracting parties by registered letter no later than 3 (three) months before the anniversary of the date on which the Contract was signed.

The contracting authorities shall purchase on the basis of the prices in force on the date on which order forms are signed. Such prices shall not be subject to revision.

The revision shall be determined by the trend in the harmonised indices of consumer prices (HICP) published by the European Commission on Eurostat web page at [http://epp.eurostat.ec.europa.eu/portal/page/portal/statistics/search\\_database](http://epp.eurostat.ec.europa.eu/portal/page/portal/statistics/search_database) (Theme 2 – Economy and Finance; Price; HICP – Harmonised Indices of Consumer Prices; HMIDX – Monthly data (index); GEO – Euro area (EA11-2000, EA12-2006, EA13-2007, EA15-2008, EA16-2010, EA17); COICOP – cp00).

Revision shall be calculated in accordance with the following formula:

$$Pr = Po \times (0,2 + (0,8 \times Ir/Io))$$

Where:

Pr = revised price;

Po = price in the original tender;

Io = index for the month in which the validity of the tender expires;

Ir = index for the month corresponding to the date of receipt of the letter requesting a revision of prices.

**I.3.4** In addition to the total price specified in each order form, travel, subsistence and shipment expenses shall be reimbursed in accordance with Article II.6, as shall other expenses provided for by Annex I up to a maximum amount of EUR **[complete in figures and words]** throughout implementation of the Contract.

## **ARTICLE I.4 – IMPLEMENTATION OF THE CONTRACT**

All services delivered under this Contract will be the subject of a written order form. The order form will specify the terms of the performance including in particular the reference of the Contract, the type of the services and the amount.

Within 10 (ten) working days of an order form being sent by one of the contracting authorities to the Contractor, the contracting authority concerned shall receive it back, duly signed and dated.

The period allowed for the execution of the tasks shall start to run on the date both contracting parties sign the order form, unless a different date is indicated.

## **ARTICLE I.5 – INVOICING AND PAYMENTS**

Within 30 (thirty) days of completion of the tasks referred to in each order form, the Contractor shall submit to the contracting authority concerned an invoice indicating the reference number of the Contract and of the order form to which it refers, accompanied by the relevant supporting documents relating to the services carried out. The invoice shall be sent to the contracting authority concerned at the address and for the attention of the contact person referred to in Article I.7.

Payments under the Contract shall be executed only if the Contractor has fulfilled all his contractual obligations by the date on which the invoice is submitted.

Payments shall be made on a monthly basis upon provision of the services requested, within 30 (thirty) days from the date of receipt by the contracting authority concerned of the relevant invoice(s) and supporting documents.

#### **ARTICLE I.6 – BANK ACCOUNT**

Payments shall be made to the Contractor's bank account denominated in euro<sup>1</sup>, identified as follows:

Bank name: [complete]  
Branch address: [complete]  
Account holder: [complete]  
Account No: [complete]  
IBAN code: [complete]  
BIC/Swift Code<sup>2</sup>: [complete]

#### **ARTICLE I.7 – GENERAL ADMINISTRATIVE PROVISIONS**

Any communication relating to the Contract or to its implementation shall be made in writing and shall bear the Contract and order form numbers. Ordinary mail shall be deemed to have been received by the contracting authorities on the date on which it is registered by the department responsible indicated below.

Electronic communication must be confirmed by paper communication if requested by either of the contracting parties. The contracting parties agree that paper communication can be replaced by electronic communication with electronic signature.

Communications shall be sent to the following addresses:

##### **Agency:**

European Environment Agency  
Att.: Mrs Catia Marigo  
Kongens Nytorv 6  
1050 Copenhagen K  
Denmark  
Tel: +45 33 36 72 50  
Fax: +45 33 36 72 71  
E-mail: [catia.marigo@eea.europa.eu](mailto:catia.marigo@eea.europa.eu)

##### **Participating institution:**

European Commission Representation in Denmark  
Att.: Mrs Jytte Ipsen  
Europa Huset  
Gothersgade 115  
1123 Copenhagen K  
Denmark  
Tel: +45 33 41 40 30  
Fax: +45 33 11 12 03  
E-mail: [jytte.ipsen@ec.europa.eu](mailto:jytte.ipsen@ec.europa.eu)

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<sup>1</sup> Or local currency where the receiving country does not allow transactions in EUR  
<sup>2</sup> For countries with no IBAN code

**Contractor:**

*[Contractor's name in full]*

Att.: *[title, name, function]*

*[Contractor's address in full]*

Tel: *[complete]*

Fax: *[complete]*

e-mail: *[complete]*

**ARTICLE I.8 – APPLICABLE LAW AND SETTLEMENT OF DISPUTES**

**I.8.1** The Contract shall be governed by European Union law, complemented, where necessary, by the national substantive law of Denmark.

**I.8.2** Any dispute between the contracting parties resulting from the interpretation or application of the Contract which cannot be settled amicably shall be brought before the courts of Copenhagen.

**ARTICLE I.9 – DATA PROTECTION**

Any personal data included in or relating to the Contract, including its execution, shall be processed pursuant to Regulation (EC) No 45/2001<sup>3</sup> on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data. It shall be processed solely for the purposes of the performance, management and follow-up of the Contract by the entity acting as data controller without prejudice to possible transmission to the bodies charged with a monitoring or inspection task in conformity with Union law.

The Contractor and the data subjects whose data are processed by the Contractor shall have the right of access to their personal data and the right to rectify any such data that is inaccurate or incomplete. Should the Contractor and the data subjects whose data are processed by the Contractor have any queries concerning the processing of their personal data, they shall address them to the entity acting as data controller. The Contractor and the data subjects whose data are processed by the Contractor shall have right of recourse at any time to the European Data Protection Supervisor.

Where the Contract requires the processing of personal data, the Contractor may act only under the supervision of the data controller, in particular with regard to the purposes of the processing, the categories of data which may be processed, the recipients of the data, and the means by which the data subject may exercise his/her rights.

The data shall be confidential within the meaning of Regulation (EC) No 45/2001 of the European Parliament and of the Council on the protection of individuals with regard to the processing of personal data by Community institutions and bodies and on the free movement of such data. The Contractor shall limit access to the data to the staff strictly necessary for the performance, management and monitoring of the Contract.

The Contractor shall ensure that the personal data processed are kept accurate, complete and up-to-date. For that purpose, the Contractor shall seek written confirmation from the data subjects as regards the completeness and the accuracy of the information and data collected and processed.

The Contractor undertakes to adopt appropriate technical and organisational security measures having regard to the risks inherent in the processing and to the nature of the personal data concerned in order to:

- a) prevent any unauthorised person from having access to computer systems processing personal data, and especially:

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<sup>3</sup> OJ L 8 of 12.1.2001, p. 1.

- unauthorised reading, copying, alteration or removal of storage media;
  - unauthorised data input as well as any unauthorised disclosure, alteration or erasure of stored personal data;
  - unauthorised persons from using data-processing systems by means of data transmission facilities;
- b) ensure that authorised users of a data-processing system can access only the personal data to which their access right refers;
- c) record which personal data have been communicated, when and to whom;
- d) ensure that personal data being processed on behalf of third parties can be processed only in the manner prescribed by the contracting institution or body;
- e) ensure that, during communication of personal data and transport of storage media, the data cannot be read, copied or erased without authorisation;
- f) design its organisational structure in such a way that it meets data protection requirements.

#### **ARTICLE I.10 – TERMINATION BY EITHER CONTRACTING PARTY**

Either contracting party may, of its own volition and without being required to pay compensation, terminate the Contract by serving 60 (sixty) days formal prior notice. Should the Agency or the participating institution terminate the Contract, the Contractor shall only be entitled to payment corresponding to the services ordered and executed before the termination date.

On receipt of the letter terminating the Contract, the Contractor shall take all appropriate measures to minimise costs, prevent damage, and cancel or reduce his commitments. He shall draw up the documents required by the Special Conditions for the services rendered up to the date on which termination takes effect, within a period not exceeding 60 (sixty) days from that date.

#### **ARTICLE I.11 – USE OF THE RESULTS**

Any results or rights thereon, including copyright and other intellectual or industrial property rights, obtained in performance of the Contract, shall be owned solely by the European Union, which may use, publish, assign or transfer them as it sees fit, without geographical or other limitation, except where industrial or intellectual property rights exist prior to the Contract being entered into.

Where industrial and intellectual property rights, including rights of ownership and use of the Contractor and third parties, exist prior to the Contract being entered into (“pre-existing rights”), the Contractor shall list precisely at the end of the period of the execution of the tasks at the latest all materials, information, IT tools, methodology and any other results or parts of the results to which third parties have rights or for which the right is not to be unconditionally given to the European Union. For every listed item the Contractor shall describe precisely the scope of pre-existing rights and other rights and the scope and the way, direct or indirect, of the partial vesting and thereby the effective transfer of rights to the European Union.

The Contractor shall present relevant and exhaustive evidence of acquiring all necessary rights together with the presentation of the relevant result. In case parts of the results were created by employees of the Contractor, documentary evidence shall be provided as to how the creator’s or author’s rights were transferred to the Contractor.

All pre-existing rights to delivered results shall vest with the European Union and thereby under the terms of the Contract be effectively transferred to the European Union, as provided for in Article II.7.

## II – GENERAL CONDITIONS

### ARTICLE II.1 – PERFORMANCE OF THE CONTRACT

- II.1.1** The Contractor shall perform the Contract to the highest professional standards. The Contractor shall have sole responsibility for complying with any legal obligations incumbent on him, notably those resulting from employment, tax and social legislation.
- II.1.2** The Contractor shall have sole responsibility for taking the necessary steps to obtain any permit or licence required for performance of the Contract under the laws and regulations in force at the place where the tasks assigned to him are to be executed.
- II.1.3** Without prejudice to Article II.3 any reference made to the Contractor's staff in the Contract shall relate exclusively to individuals involved in the performance of the Contract.
- II.1.4** The Contractor must ensure that any staffs performing the Contract have the professional qualifications and experience required for the execution of the tasks assigned to him.
- II.1.5** The Contractor shall neither represent the contracting authorities nor behave in any way that would give such an impression. The Contractor shall inform third parties that he does not belong to the European public service.
- II.1.6** The Contractor shall have sole responsibility for the staff who executes the tasks assigned to him.

The Contractor shall make provision for the following employment or service relationships with his staff:

- staff executing the tasks assigned to the Contractor may not be given orders direct by the contracting authorities;
  - the contracting authorities may not under any circumstances be considered to be the staff's employer and the said staff shall undertake not to invoke in respect of the contracting authorities any right arising from the contractual relationship between the contracting authorities and the Contractor.
- II.1.7** In the event of disruption resulting from the action of a member of the Contractor's staff working on the contracting authorities' premises or in the event of the expertise of a member of the Contractor's staff failing to correspond to the profile required by the Contract, the Contractor shall replace him without delay. The contracting authorities shall have the right to request the replacement of any such member of staff, stating its reasons for so doing. Replacement staff must have the necessary qualifications and be capable of performing the Contract under the same contractual conditions. The Contractor shall be responsible for any delay in the execution of the tasks assigned to him resulting from the replacement of staff in accordance with this Article.
- II.1.8** Should any unforeseen event, action or omission directly or indirectly hampers execution of the tasks, either partially or totally, the Contractor shall immediately and on his own initiative record it and report it to the contracting authorities. The report shall include a description of the problem and an indication of the date on which it started and of the remedial action taken by the Contractor to ensure full compliance with his obligations under the Contract. In such event the Contractor shall give priority to solving the problem rather than determining liability.

**II.1.9** Should the Contractor fail to perform his obligations under the Contract, the contracting authorities may - without prejudice to their right to terminate the Contract - reduce or recover payments in proportion to the scale of the failure. In addition, the contracting authorities may impose penalties or liquidated damages provided for in Article II.16.

## **ARTICLE II.2 – LIABILITY**

**II.2.1** The Agency or the participating institution shall not be liable for damage sustained by the Contractor in performance of the Contract except in the event of wilful misconduct or gross negligence on the part of the Agency or the participating institution.

**II.2.2** The Contractor shall be liable for any loss or damage sustained by the Agency or the participating institution in performance of the Contract, including in the event of subcontracting under Article II.12, but only up to 3 (three) times the total amount of the Contract. Nevertheless, if the damage or loss is caused by the gross negligence or wilful misconduct of the Contractor or by its employees, the Contractor shall remain liable without any limitation as to the amount of the damage or loss.

**II.2.3** The Contractor shall provide compensation in the event of any action, claim or proceeding brought against the Agency or the participating institution by a third party as a result of damage caused by the Contractor in performance of the Contract.

**II.2.4** In the event of any action brought by a third party against the Agency or the participating institution in connection with performance of the Contract, the Contractor shall assist the Agency or the participating institution. Expenditure incurred by the Contractor to this end may be borne by the Agency or the participating institution, as the case may be.

**II.2.5** The Contractor shall take out insurance against risks and damage relating to performance of the Contract if required by the relevant applicable legislation. He shall take out supplementary insurance as reasonably required by standard practice in the industry. A copy of all the relevant insurance contracts shall be sent to the contracting authorities should they so request.

## **ARTICLE II.3 - CONFLICT OF INTERESTS**

**II.3.1** The Contractor shall take all necessary measures to prevent any situation that could compromise the impartial and objective performance of the Contract. Such conflict of interests could arise in particular as a result of economic interest, political or national affinity, family or emotional ties, or any other relevant connection or shared interest. Any conflict of interests which could arise during performance of the Contract must be notified to the Agency or the participating institution in writing without delay. In the event of such conflict, the Contractor shall immediately take all necessary steps to resolve it.

The Agency or the participating institution reserves the right to verify that such measures are adequate and may require additional measures to be taken, if necessary, within a time limit which it shall set. The Contractor shall ensure that his staff, board and directors are not placed in a situation which could give rise to conflict of interests. Without prejudice to Article II.1 the Contractor shall replace, immediately and without compensation from the Agency or the participating institution, any member of his staff exposed to such a situation.

**II.3.2** The Contractor shall abstain from any contact likely to compromise his independence.

**II.3.3** The Contractor declares:

- that he has not made and will not make any offer of any type whatsoever from which an advantage can be derived under the Contract,

- that he has not granted and will not grant, has not sought and will not seek, has not attempted and will not attempt to obtain, and has not accepted and will not accept, any advantage, financial or in kind, to or from any party whatsoever, where such advantage constitutes an illegal practice or involves corruption, either directly or indirectly, inasmuch as it is an incentive or reward relating to performance of the Contract.

**II.3.4** The Contractor shall pass on all the relevant obligations in writing to his staff, board, and directors as well as to third parties involved in performance of the Contract. A copy of the instructions given and the undertakings made in this respect shall be sent to the Agency or the participating institution should it so request.

## **ARTICLE II.4 – GENERAL PROVISIONS CONCERNING PAYMENTS**

**II.4.1** Payments shall be deemed to have been made on the date on which the Agency's or the participating institution's account is debited.

**II.4.2** The payment periods referred to in Article I.5 may be suspended by the Agency or the participating institution at any time if it informs the Contractor that his invoice is not admissible, either because the amount is not due or because the necessary supporting documents have not been properly produced. In case of doubt on the eligibility of the expenditure indicated in the invoice, the Agency or the participating institution may suspend the time limit for payment for the purpose of further verification, including an on-the-spot check, in order to ascertain, prior to payment, that the expenditure is eligible.

The Agency or the participating institution shall notify the Contractor accordingly by registered letter with acknowledgment of receipt or equivalent. Suspension shall take effect from the date of dispatch of the letter. The remainder of the period referred to in Article I.5 shall begin to run again once the suspension has been lifted.

**II.4.3** In the event of late payment the Contractor may claim interest within 2 (two) months of receiving the payment. Interest shall be calculated at the rate applied by the European Central Bank to its most recent main refinancing operations (*"the reference rate"*) plus 7 (seven) percentage points (*"the margin"*). The reference rate in force on the first day of the month in which the payment is due shall apply. Such interest rate is published in the C series of the Official Journal of the European Union. Interest shall be payable for the period elapsing from the calendar day following expiry of the time limit for payment up to the day of payment. Suspension of payment by the Agency or the participating institution may not be deemed to constitute late payment.

**II.4.4** Payments are executed in the currency of the Contract.

Costs of the transfer are borne in the following way:

- cost of dispatch charged by the bank of the Agency or the participating institution are borne by the Agency or the participating institution,
- cost of receipt charged by the bank of the Contractor are borne by the Contractor,
- all costs or repeated transfer caused by one of the parties are borne by the party who caused repetition of the transfer.

## **ARTICLE II.5 – RECOVERY**

**II.5.1** If total payments made exceed the amount actually due or if recovery is justified in accordance with the terms of the Contract, the Contractor shall reimburse the appropriate amount in euro on receipt of the debit note, in the manner and within the time limits set by the Agency or the participating institution.

**II.5.2** In the event of failure to pay by the deadline specified in the request for reimbursement, the sum due shall bear interest at the rate indicated in Article II.4.3.

Interest shall be payable from the calendar day following the due date up to the calendar day on which the debt is repaid in full.

- II.5.3** In the event of failure to pay by the deadline specified in the request for reimbursement, the Agency or the participating institution, as the case may be, may, after informing the Contractor, recover amounts established as certain, of a fixed amount and due by offsetting, in cases where the Contractor also has a claim on the Agency or the participating institution that is certain, of a fixed amount and due. The Agency or the participating institution, as the case may be, may also claim against the guarantee, where provided for.

## **ARTICLE II.6 - REIMBURSEMENTS**

- II.6.1** Where provided by the Special Conditions or by Annex I, the Agency or the participating institution, as the case may be, shall reimburse expenses that are directly connected with the execution of the tasks on production of original supporting documents, including receipts and used tickets.
- II.6.2** Travel and subsistence expenses shall be reimbursed, where appropriate, on the basis of the shortest itinerary.
- II.6.3** Travel expenses shall be reimbursed as follows:
- a)** travel by air shall be reimbursed up to the maximum cost of an economy class ticket at the time of the reservation;
  - b)** travel by boat or rail shall be reimbursed up to the maximum cost of a first class ticket;
  - c)** travel by car shall be reimbursed at the rate of one first class rail ticket for the same journey and on the same day;
  - d)** travel outside Community territory shall be reimbursed under the general conditions stated above provided the Agency or the participating institution, as the case may be, has given its prior written agreement.
- II.6.4** Subsistence expenses shall be reimbursed on the basis of a daily allowance as follows:
- a)** for journeys of less than 200 km (return trip) no subsistence allowance shall be payable;
  - b)** daily subsistence allowance shall be payable only on receipt of a supporting document proving that the person concerned was present at the place of destination;
  - c)** daily subsistence allowance shall take the form of a flat-rate payment to cover all subsistence expenses, including accommodation, meals, local transport, insurance and sundries;
  - d)** daily subsistence allowance, where applicable, shall be reimbursed at the rate specified in the travel and subsistence reimbursement rules attached as Annex 7 to Annex I.
- II.6.5** The cost of shipment of equipment or unaccompanied luggage shall be reimbursed provided the Agency or the participating institution, as the case may be, has given prior written authorisation.

## **ARTICLE II.7 – OWNERSHIP OF THE RESULTS - INTELLECTUAL AND INDUSTRIAL PROPERTY**

**II.7.1** A result shall be any outcome of the implementation of the Contract and provided as such by the Contractor.

A creator shall be any person who contributed to the production of the result.

Pre-existing intellectual property rights, sometimes referred to as background technology, are any industrial and intellectual property rights which exist prior to the contract being entered into and include right of ownership and use of the Contractor, the Agency or the participating institution and any third party (“pre-existing rights”).

It shall be a material term of the Contract and of the essence of the Contract that the Contractor shall be under a duty to provide a list of pre-existing rights at the latest on the date of delivery of the final result.

**II.7.2** The ownership of all the results or rights thereon as listed in the tender specifications and the tender attached to the Contract, including copyright and other intellectual or industrial property rights, and all technological solutions and information embodied therein, obtained in the performance of the Contract, shall be irrevocably and fully vested with the European Union, which may use, publish, assign or transfer them as it sees fit. All the rights shall be vested with the European Union from the moment the results were delivered and accepted by the Agency or the participating institution.

To avoid any doubt, and where applicable, any such vesting of rights is also deemed to constitute an effective transfer of the rights from the Contractor to the European Union.

The payment of the fee under Article I.3 is deemed to include all forms of use of the results by the European Union.

The above vesting of rights with the European Union under this Contract covers all territories worldwide and is valid for the whole duration of protection of intellectual property rights.

**II.7.3** Any intermediary sub-result, raw data, intermediary analysis made available to the Agency by the Contractor cannot be used by the European Union without written consent of the Contractor, unless the tender specifications explicitly provide for it to be treated as self-contained results.

**II.7.4** The Contractor retains all rights, title and interest in pre-existing rights not fully vested with the European Union in line with Article I.11, and hereby grants the European Union for the requested period a licence to use the pre-existing rights to the extent necessary to use the delivered results.

**II.7.5** The Contractor shall ensure that delivered results are free of rights or claims from third parties, including in relation to pre-existing rights, for any use envisaged by the Agency or the participating institution. This does not concern the moral rights of natural persons and rights referred to in Article II.7.4.

**II.7.6** The Contractor shall clearly point out all quotations of existing textual works made by the Contractor. The complete reference should include as appropriate: the name of the author, the title of the work, the date of publishing, the date of creation, the place of publication, the address of publication on internet, the number, the volume and other information allowing identifying the origin easily.

**II.7.7** The Contractor shall clearly indicate all parts to which there are pre-existing rights and all parts of the result originating from external sources: parts of other documents,

images, graphs, tables, data, software, technical inventions, know-how, etc. (delivered in paper, electronic or other form).

For non-textual results or results provided in electronic form only, the description, instruction or information document shall list all parts coming from external sources: IT development tools, routines, subroutines and/or other programmes ("background technology"), concepts, designs, installations or pieces of art, data, source or background materials, or any other parts of external origin.

**II.7.8** If the Agency or the participating institution so requires, the Contractor shall provide proof of ownership or right to use all necessary rights to the materials referred to in Article II.7.7.

**II.7.9** By delivering the results the Contractor confirms that the creators undertake not to oppose their names being mentioned when the results are presented to the public and confirms that the results can be divulged.

The Contractor shall possess all relevant agreements of the creator and provide proof by way of documentary evidence.

**II.7.10** By delivering the results the Contractor warrants that the above transfer of rights does not violate any law or infringe any rights of others and that he possesses the relevant rights or powers to execute the transfer. He also warrants that he has paid or has verified payment of all fees including fees to collecting societies related to the final results.

**II.7.11** The Contractor shall indemnify and hold the European Union harmless for all damages and cost incurred due to any claim brought by any third party including creators and intermediaries for any alleged breach of any intellectual, industrial or other property rights based on the European Union's use of the works and in relation to which the Contractor has granted the European Union user rights.

## **ARTICLE II.8 – CONFIDENTIALITY**

**II.8.1.** The Contractor undertakes to treat in the strictest confidence and not make use of or divulge to third parties any information or documents which are linked to performance of the Contract. The Contractor shall continue to be bound by this undertaking after completion of the tasks.

**II.8.2.** The Contractor shall obtain from each member of his staff, board and directors an undertaking that they will respect the confidentiality of any information which is linked, directly or indirectly, to execution of the tasks and that they will not divulge to third parties or use for their own benefit or that of any third party any document or information not available publicly, even after completion of the tasks.

## **ARTICLE II.9 - USE, DISTRIBUTION AND PUBLICATION OF INFORMATION ABOUT THE CONTRACT**

**II.9.1** The Contractor shall authorise the contracting authorities to process, use, distribute and publish, for whatever purpose, by whatever means and on whatever medium, any data contained in or relating to the Contract, in particular the identity of the Contractor, the subject matter, the duration, the amount paid and the reports. Where personal data is concerned, Article I.9 shall apply.

**II.9.2** Unless otherwise provided by the Special Conditions, the contracting authorities shall not be required to distribute or publish documents or information supplied in performance of the Contract. If they decide not to publish the documents or information supplied, the Contractor may not have them distributed or published elsewhere without prior written authorisation from the Agency or the participating institution as the case may be.

- II.9.3** Any distribution or publication of information relating to the Contract by the Contractor shall require prior written authorisation from the Agency or the participating institution and, if so requested, shall mention that it was produced within a contract with the contracting authorities. It shall state that the opinions expressed are those of the Contractor only and do not represent the Agency's or the participating institution's official position.
- II.9.4** The use of information obtained by the Contractor in the course of the Contract for purposes other than its performance shall be forbidden, unless the Agency and/or the participating institution has specifically given prior written authorisation to the contrary.

#### **ARTICLE II.10 – TAXATION**

- II.10.1** The Contractor shall have sole responsibility for compliance with the tax laws which apply to him. Failure to comply shall make the relevant invoices invalid.
- II.10.2** The Contractor recognises that the contracting authorities are, as a rule, exempt from all taxes and duties, including value added tax (VAT), pursuant to the provisions of Articles 3 and 4 of the Protocol on the Privileges and Immunities of the European Union.
- II.10.3** The Contractor shall accordingly complete the necessary formalities with the relevant authorities to ensure that the goods and services required for performance of the Contract are exempt from taxes and duties, including VAT.
- II.10.4** Invoices presented by the Contractor shall indicate his place of taxation for VAT purposes and shall specify separately the amounts not including VAT and the amounts including VAT.

#### **ARTICLE II.11 – FORCE MAJEURE**

- II.11.1** Force majeure shall mean any unforeseeable and exceptional situation or event beyond the control of the contracting parties which prevents either of them from performing any of their obligations under the Contract, was not due to error or negligence on their part or on the part of a subcontractor, and could not have been avoided by the exercise of due diligence. Defects in equipment or material or delays in making it available, labour disputes, strikes or financial problems cannot be invoked as force majeure unless they stem directly from a relevant case of force majeure.
- II.11.2** Without prejudice to the provisions of Article II.1.8, if either contracting party is faced with force majeure, it shall notify the other party without delay by registered letter with acknowledgment of receipt or equivalent, stating the nature, likely duration and foreseeable effects.
- II.11.3** Neither contracting party shall be held in breach of its contractual obligations if it has been prevented from performing them by force majeure. Where the Contractor is unable to perform his contractual obligations owing to force majeure, he shall have the right to remuneration only for tasks actually executed.
- II.11.4** The contracting parties shall take the necessary measures to reduce damage to a minimum.

#### **ARTICLE II.12 – SUBCONTRACTING**

- II.12.1** The Contractor shall not subcontract without prior written authorisation from the contracting authorities nor cause the Contract to be performed in fact by third parties.

**II.12.2** Even where the contracting authorities authorises the Contractor to subcontract to third parties, he shall none the less remain bound by his obligations to the contracting authorities under the Contract and shall bear exclusive liability for proper performance of the Contract.

**II.12.3** The Contractor shall make sure that the subcontract does not affect rights and guarantees to which the contracting authorities are entitled by virtue of the Contract, notably Article II.17.

#### **ARTICLE II.13 – ASSIGNMENT**

**II.13.1** The Contractor shall not assign the rights and obligations arising from the Contract, in whole or in part, without prior written authorisation from the contracting authorities.

**II.13.2** In the absence of the authorisation referred to in Article II.13.1, or in the event of failure to observe the terms thereof, assignment by the Contractor shall not be enforceable against and shall have no effect on the contracting authorities.

#### **ARTICLE II.14 – TERMINATION BY THE AGENCY OR THE PARTICIPATING INSTITUTION**

**II.14.1** The Agency or the participating institution may terminate the Contract or a pending order in the following circumstances:

- a) where the Contractor is being wound up, is having his affairs administered by the courts, has entered into an arrangement with creditors, has suspended business activities, is the subject of proceedings concerning those matters, or is in any analogous situation arising from a similar procedure provided for in national legislation or regulations;
- b) where the Contractor has not fulfilled obligations relating to the payment of social security contributions or the payment of taxes in accordance with the legal provisions of the country in which he is established or with those of the country applicable to the Contract or those of the country where the Contract is to be performed;
- c) where the Agency or the participating institution has evidence or seriously suspects the Contractor or any related entity or person, of professional misconduct;
- d) where the Agency or the participating institution has evidence or seriously suspects the Contractor or any related entity or person, of fraud, corruption, involvement in a criminal organisation or any other illegal activity detrimental to the European Union's financial interests;
- e) where the Agency or the participating institution has evidence or seriously suspects the Contractor or any related entity or person, of substantial errors, irregularities or fraud in the award procedure or the performance of the Contract;
- f) where the Contractor is in breach of his obligations under Article II.3;
- g) where the Contractor was guilty of misrepresentation in supplying the information required by the contracting authorities as a condition of participation in the Contract procedure or failed to supply this information;
- h) where a change in the Contractor's legal, financial, technical or organisational situation could, in the contracting authorities' opinion, have a significant effect on the performance of the Contract;

- i) where execution of the tasks under a pending order has not actually commenced within fifteen days of the date foreseen, and the new date proposed, if any, is considered unacceptable by the contracting authorities;
- j) where the Contractor is unable, through his own fault, to obtain any permit or licence required for performance of the Contract;
- k) where the Contractor, after receiving formal notice in writing to comply, specifying the nature of the alleged failure, and after being given the opportunity to remedy the failure within a reasonable period following receipt of the formal notice, remains in serious breach of his contractual obligations.

**II.14.2** In case of force majeure, notified in accordance with Article II.11, either contracting party may terminate the Contract, where performance thereof cannot be ensured for a period corresponding to at least to one fifth of the period laid down in Article I.2.3.

**II.14.3** Prior to termination under point c), d) e), h) or k), the Contractor shall be given the opportunity to submit his observations.

Termination shall take effect on the date on which a registered letter with acknowledgment of receipt terminating the Contract is received by the Contractor, or on any other date indicated in the letter of termination.

**II.14.4** Consequences of termination:

In the event of the Agency or the participating institution terminating the Contract or a pending order in accordance with this Article and without prejudice to any other measures provided for in the Contract, the Contractor shall waive any claim for consequential damages, including any loss of anticipated profits for uncompleted service. On receipt of the letter terminating the Contract, the Contractor shall take all appropriate measures to minimise costs, prevent damage, and cancel or reduce his commitments. He shall draw up the documents required by the Special Conditions for the tasks executed up to the date on which termination takes effect, within a period not exceeding 60 (sixty) days from that date.

The Agency or the participating institution may claim compensation for any damage suffered and recover any sums paid to the Contractor under the Contract.

On termination the contracting authorities may engage any other contractor to execute or complete the services. The contracting authorities shall be entitled to claim from the Contractor all extra costs incurred in doing so, without prejudice to any other rights or guarantees enforceable under the Contract.

## **ARTICLE II.15 – SUBSTANTIAL ERRORS, IRREGULARITIES AND FRAUD ATTRIBUTABLE TO THE CONTRACTOR**

Where, after the award of the Contract, the award procedure or the performance of the Contract prove to have been subject to substantial errors, irregularities or fraud, and where such errors, irregularities or fraud are attributable to the Contractor, the contracting authorities may refuse to make payments, may recover amounts already paid or may terminate all the contracts concluded with the Contractor, in proportion to the seriousness of the errors, irregularities or fraud.

## **ARTICLE II.16 – LIQUIDATED DAMAGES**

Should the Contractor fail to perform his obligations under the Contract within the time limits set by the Contract, then, without prejudice to the Contractor's actual or potential liability incurred in relation to the Contract or to the contracting authorities' right to terminate the Contract, the Agency or the participating institution may decide to impose liquidated damages per calendar day of delay according to the formula  $0.3 \times (V/d)$ , where  $V$  is the price of the relevant purchase and  $d$  is the duration specified in the relevant order form expressed in days. The Contractor may submit arguments against this decision within 30 (thirty) days of

notification by registered letter with acknowledgement of receipt or equivalent. In the absence of reaction on his part or of written withdrawal by the Agency or the participating institution within 30 (thirty) days of the receipt of such arguments, the decision imposing the liquidated damages shall become enforceable. These liquidated damages shall not be imposed where there is provision for interest for late completion. The contracting parties expressly acknowledge and agree that any sums payable under this article are in the nature of liquidated damages and not penalties, and represent a reasonable estimate of fair compensation for the losses that may be reasonably anticipated from such failure to perform obligations.

#### **ARTICLE II.17 – CHECKS AND AUDITS**

- II.17.1** Pursuant to Article 142 of the Financial Regulation applicable to the general budget of the European Communities, the European Court of Auditors shall be empowered to audit the documents held by the natural or legal persons receiving payments from the budget of the European Union from signature of the Contract up to 5 (five) years after payment of the balance of the last implementation.
- II.17.2** The contracting authorities or an outside body of their choice shall have the same rights as the European Court of Auditors for the purpose of checks and audits limited to compliance with contractual obligations from signature of the Contract up to 5 (five) years after payment of the balance of the last implementation.
- II.17.3** In addition, the European Anti-Fraud Office may carry out on-the-spot checks and inspections in accordance with Council Regulation (Euratom, EC) No 2185/96 and Parliament and Council Regulation (EC) No 1073/1999 from signature of the Contract up to 5 (five) years after payment of the balance of the last implementation.

#### **ARTICLE II.18 – AMENDMENTS**

Any amendment to the Contract shall be the subject of a written agreement concluded by the contracting parties before fulfilment of all their contractual obligations. An oral agreement shall not be binding on the contracting parties. An order form may not be deemed to constitute an amendment to the Contract.

#### **ARTICLE II.19 – SUSPENSION OF THE CONTRACT**

Without prejudice to the contracting authorities' right to terminate the Contract, the Agency or the participating institution may at any time and for any reason suspend execution of the Contract or pending orders or any part thereof. Suspension shall take effect on the day the Contractor receives notification by registered letter with acknowledgment of receipt or equivalent, or at a later date where the notification so provides. The Agency or the participating institution may at any time following suspension give notice to the Contractor to resume the work suspended. The Contractor shall not be entitled to claim compensation on account of suspension of the Contract, of the orders, or of part thereof.

**SIGNATURES**

For the Contractor,  
*[Company name/forename/surname/function]*

For the Agency,  
On its own behalf and on behalf of the  
European Commission Representation in  
Denmark,

Prof. Jacqueline McGlade,  
Executive Director

Signature[s]: \_\_\_\_\_

Signature: \_\_\_\_\_

Done at \_\_\_\_\_, on \_\_\_\_\_

Done at Copenhagen, on \_\_\_\_\_

In duplicate in English

## ANNEX 6 to the Tender Specifications

### DRAFT ORDER FORM

<b>European Environment Agency</b> 	FRAMEWORK CONTRACT ORDER FORM			
	Order number		<i>(Name and address of the service provider / supplier)</i>	
Administrative services (ADS) Kongens Nytorv 6 1050 Copenhagen K Tel.: +45 33 36 71 48 Fax: +45 33 36 72 71	Currency of payment			
	Date and reference of the offer:			
This order form is governed by the provisions of Framework contract No EEA/ADS/12/001 in force from xx.xx.2012 to xx.xx.2014				
LISTING OF GOODS / SERVICES	UNIT	QUANTITY	PRICE IN EUR	
			UNIT PRICE	TOTAL
Pursuant to the provisions of Articles 3 and 4 of the Protocol on the Privileges and Immunities of the European Union, the Agency is exempt from all taxes and dues, including value added tax, on payments due in respect of this Contract. For purchases within the Union, the mention "VAT exemption / European Union / Article 151 of Council Directive 2006/112/EC" should be added on the invoice.	Packaging			
	Insurance			
	Transport			
	Assembly			
	VAT			
	<b>TOTAL</b>			
<b>Place of delivery or implementation and/or incoterm:</b> [Contractor's premises at <span style="background-color: yellow;">[complete address]</span> ] [EEA premises at Kongens Nytorv 6 and 8, DK 1050 Copenhagen K]				
<b>Final date of delivery or implementation:</b>				
<b>Terms of payment:</b> Within maximum 30 days in accordance with the provisions of Article I.5 of Framework Contract No EEA/ADS/12/001			<b>Contractor's signature</b>	
Date of issue	<span style="background-color: yellow;">xx.xx.201x</span>		Date	<span style="background-color: yellow;">xx.xx.201x</span>
Signature:  Mr Søren Nielsen Acting Head of Programme			Signature:  [Title] [First name and surname] [Function]	